

**Underwriters Laboratories Inc.®**

1655 Scott Boulevard
Santa Clara, CA 95050-4169
United States Country Code (1)
(408) 985-2400
FAX No. (408) 296-3256
<http://www.ul.com>

May 7, 2001
AU2687

Capstone Turbine Corporation
21211 Nordhoff Street
Chatsworth, CA 91311

Telephone: 818-734-5467
Facsimile: 818-734-5320

Attention: Bryan Fox
Certification Engineer

Reference: Your May 3, 2001 Office Visit

Subject: Certificate of Compliance for Model 330 Microturbine Generator

Dear Mr. Fox:

In response to your May 3, 2001 visit to our UL Santa Clara Office, we have attached a Certificate of Compliance for the Model 330 microturbine generator.

The Certificate was issued to cover the overall Listing of Model 330 to UL 2200 "Stationary Engine Generator Assemblies" and also references its compliance with the applicable requirements of UL 1741 "Inverters, Converters and Controllers for Use in Independent Power Systems". We will forward the original Certificate by mail to your attention.

We are currently working on a revision to the Engine Generator (FTSR) guide card and product marking requirements to add an appropriate reference for units that comply with the UL 1741 utility interactive requirements. We hope that this Certificate will suffice in the interim

In the meantime, if you should have other questions or comments, please do not hesitate to contact us.

Very truly yours,

A handwritten signature in black ink, appearing to read 'Greg Okada'.

GREG OKADA (Ext. 32444)
Staff Engineer
Conformity Assessment Services 3015WSCL

Northbrook, Illinois • (847) 272-8800

Melville, New York • (631) 271-6200

Santa Clara, California • (408) 985-2400

Research Triangle Park,
North Carolina • (919) 549-1400

Camas, Washington • (360) 817-5500



CERTIFICATE OF COMPLIANCE

CERTIFICATE NUMBER: 050401-AU2687**ISSUE DATE:** May 7, 2001**Page 1 of 1**

Issued to: Capstone Turbine Corporation
21211 Nordhoff Street
Chatsworth, CA 91311

Report Reference: AU2687-April 2, 2000


**This is to Certify that
representative samples of:** Stationary Engine Generator Assemblies, Micro Turbine Model 330

**Have been investigated by Underwriters Laboratories Inc.® in accordance with the Standard(s)
indicated on this Certificate.**

Standard(s) for Safety: UL 2200 "Stationary Engine Generator Assemblies", First Edition dated
September 1, 1998.

Additional Information: Units also comply with the applicable utility interactive requirements of UL 1741
"Inverters, Converters, and Controllers for use in Independent Power Systems",
First Edition dated May 7, 1999.

**Only those products bearing the UL Listing Mark should be considered as being covered by UL's
Listing and Follow-Up Service.**

The UL Listing Mark generally includes the following elements: the symbol UL in a circle:  with the word "LISTED"; a control number
(may be alphanumeric) assigned by UL; and the product category name (product identifier) as indicated in the appropriate UL Directory.

LOOK FOR THE UL LISTING MARK ON THE PRODUCT

Engineer: 
Greg Okada
Underwriters Laboratories Inc.

Review Engineer: 
Maggie Carroll
Underwriters Laboratories Inc.